



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE
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Charles B. Elderkin
ALSTON & BIRD LLP
Bank of America Plaza
101 South Tryon Street, Suite 4000
Charlotte, NC 28280-4000

In re: Achs et al : DECISION ON REQUEST
Serial No.: 09/786,027 : UNDER 37 CFR 5.25
Filing date: February 28, 2001
Docket No: 38827/205276

Title: **ENERGY GUIDE CHAIN FOR GUIDING LINES COMPRISING CHAIN LINKS
WHICH CAN MOVE IN THREE DIMENSIONS**

This is a decision on the petition filed on June 11, 2001 for retroactive foreign filing license.

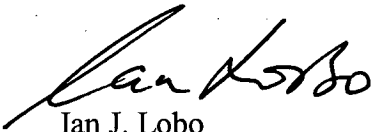
37 CFR 5.25(a).requires the following:

1. A listing of each of the foreign countries in which the unlicensed patent application material was filed,
2. The dates on which the material was filed in each country,
3. A verified statement (oath or declaration) containing:
 - i. An averment that the subject matter in question was not under a secrecy order at the time it was filed abroad, and that it is not currently under a secrecy order,
 - ii. A showing that the license has been diligently sought after discovery of the proscribed foreign filing, and
 - iii. An explanation of why the material was filed abroad through error and without deceptive intent without the required license under § 5.11 first having been obtained, and
4. The required fee (§ 1.17(h)).

The petition is denied at this time since the requirements of 37 CFR 5.25(a)(3)(iii) have not been fully met. Although it is acknowledged that inventor and petitioner Achs may not have known of the requirements of filing an application abroad prior to acquiring a foreign filing license, it does not suffice since the requirements of 37 CFR 5.25 are directed to those who had knowledge and made the actual decision to file the application abroad. In the instant case, those person(s) are the German counsel. Therefore, to fulfill the requirements of 37 CFR 5.25, a verified statement(s) by the German counsel is required. The statements should reference the error and further be

directed to the circumstances surrounding the proscribed filing, including discovery of the proscribed filing.

Accordingly, the provisions of 37 CFR 5.25 not having been fully met, the petition is denied, and in the absence of any response within **60 days** of the mailing date of this letter, such denial will be made final and the final action under 35 U.S.C. 185 will be taken. Extensions of time may be had under 37 C.F.R. 1.136(a).

A handwritten signature in black ink, appearing to read "Ian J. Lobo", written in a cursive style.

Ian J. Lobo
Patent Examiner
(571) 272-6974